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Knowledge-Based Systems xxx (2008) xxx-xxx

Contents lists available at ScienceDirect

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Knowledge-Based Systems

journal homepage: www.elsevier.com/locate/knosys

Text classification based on multi-word with support vector machine

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ARTICLE INFO

Article history: Received 12 December 2007 Accepted 30 March 2008 Available online xxxx

Keywords: Text mining Text representation Multi-word Feature selection Information gain Support vector machine

ABSTRACT

One of the main themes supporting text mining is text representation, i.e., looking for the appropriate terms to transfer the documents into numerical vectors. Recently, many efforts have been invested on this topic to enrich text representation using vector space model (VSM) to improve the performances of text mining techniques such as classification, clustering, etc. The main concern of this paper is to investigate the effectiveness of using multi-words for text representation on the performances of classification. Firstly, a practical method is proposed to implement the multi-word extraction from documents based on the syntactical structure. Secondly, two strategies as general concept representation and subtopic representation are presented to represent the documents using the extracted multi-words. Especially, the dynamic k-mismatch is proposed to determine the presence of a long multi-word which is a subtopic of the content of a document. Finally, we carried out a series of experiments on classifying the Reuters-21578 documents using the representations with multi-words, respectively. We used the performance of representation in individual words as the baseline, which has the largest dimension of feature set for representation without linguistic preprocessing. Moreover, linear kernel and non-linear polynomial kernel in support vector machines (SVM) are examined comparatively for classification to investigate the effect of kernel type on the performance of classification. And the index terms with low information gain (IG) are removed from the feature set at different percentage to observe the robustness of each classification method. Our experiments demonstrate that in multi-word representation, subtopic of general concept representation outperforms the general concept representation and the linear kernel outperforms non-linear kernel of SVM in classifying the Reuters data. And the effect of applying different representation strategies is greater than the effect of applying the different SVM kernels on classification performance. Furthermore, the representation using individual words outperforms any representation using multi-words. This is consistent with the most opinions concerning the role of linguistic preprocessing on documents' features when using SVM for classification.

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1. Introduction

With the rapid growth of online information, text classification has become one of the key techniques for handling and organizing text data. Automated text classification utilizes a supervised learning method to assign predefined category labels to new documents based on the likelihood suggested by a trained set of labels and documents. Text representation, which is the process of transforming the unstructured texts into structured data as numerical vectors which can be handled by data mining techniques, is of strong impact on the generalization accuracy of a learning system. Usually, bag of words (BOW) 59 in vector space model [1] is used to represent the text using 60 individual words obtained from the given text data set. As a

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0950-7051/\$ - see front matter © 2008 Published by Elsevier B.V. doi:10.1016/j.knosys.2008.03.044

simple and intuitive method, BOW method makes the representation and learning easy and highly efficient as it ignores the order and meaning of individual words. But it is also criticized that the information patterns discovered by BOW are not interpretable and comprehensible because the linguistic meaning and semantics are not integrated into representation of documents. To address this problem, three types of representation methods at different semantic levels are proposed recently as follows. 69

• Ontology enhanced representation. That is, using ontology to 70 capture the concepts in the documents and integrate the domain 71 knowledge of individual words into the terms for representation. For instance, Hotho et al. developed different types of methods to compile the background knowledge embodied in 74 ontologies into text documents representation and improved 75 the performance of document clustering [2]. Such kind of works 76 also can be found in [3,4]. 77

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Please cite this article in press as: W. Zhang et al., Text classification based on multi-word with support vector machine, Knowl. Based Syst. (2008), doi:10.1016/j.knosys.2008.03.044

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- 78 • Linguistic unit enhanced representation. This method makes use 79 of lexical and syntactic rules of phrases to extract the terminol-80 ogies, noun phrases and entities from documents and enrich the 81 representation using these linguistic units. For instance, Lewis 82 compared the phrase-based indexing and word-based indexing 83 for representation for the task of document categorization [5]. 84 His result showed that the phrase indexing can not improve 85 the categorization in most cases because of the low frequencies 86 of most phrases. Such kind of work can also be found in [6] 87 which used multi-words to improve the effectiveness of text-88 retrieval system.
- 89 • Word sequence enhanced representation. This method ignores the semantics in documents and treats the words as string 90 sequences. Text representation using this method is either on 91 92 words' group based on co-occurrence or a word sequence 93 extracted from documents by traditional string matching 94 method. In this aspect, Li used the generalized suffix tree to 95 extract the frequent word sequences from documents and used 96 the frequent word sequences for text representation to propose the CFWS clustering algorithm [7]. Similar work can be found in 97 98 [8-10]. Particularly, the N-gram-based representation [11] can 99 also be categorized as this type for it also ignores the semantics and meaning of individual words. 100

102 In this paper, our primary concern is on the second one men-103 tioned above, that is, using the linguistic unit to enhance text rep-104 resentation. Briefly, we investigate which beneficial effects can be 105 achieved for text documents classification if the multi-word, which 106 is regarded as including contextual information of individual in, is 107 used as the feature for representation. In details, a multi-word 108 extraction method is developed based on the syntactical rules of 109 multi-word firstly. Then, documents are represented with these multi-words using different strategies. Finally, a series of experi-110 111 ments are designed to examine the performances of text classifica-112 tion methods in order to evaluate the effectiveness of multi-word 113 representation.

114 The rest of this paper is organized as follows. Section 2 is the 115 preliminaries of the techniques used in this paper and related 116 work. Section 3 describes the developed multi-word extraction 117 method and the details of using multi-words for text representa-118 tion. Section 4 is the experiments we carried out to examine the multi-word representation methods on classifying the Reuters-119 21578 data set. Finally, concluding remarks and further research 120 121 plans are indicated in Section 5.

122 **2. Preliminaries and related work**

123 The basic ideas of the techniques used in this paper are speci-124 fied and the related work of each topic is introduced.

125 2.1. Multi-word

A word is characterized by the company it keeps [12] and the 126 closer a set of interesting terms, the more likely they are to indicate 127 relevance [13]. That means not only the individual word but also 128 its contextual information embodied in its close terms should be 129 130 emphasized for further processing. This simple and direct idea 131 motivates the research on multi-words, which is expected to cap-132 ture the context information of the individual words. Although 133 multi-word has no satisfactory formal definition, it can be defined 134 as a sequence of two or more consecutive individual words, which 135 is a semantic unit, including steady collocations (e.g. proper nouns, 136 terminologies, etc.) and compound words [14]. Usually, it is made up of a group of individual words, and its meaning is either chan-137 ged to be entirely different from (e.g. collocation) or derived from 138

the straight-forward composition of the meanings of its parts (e.g.
compound phrase). In fact, there are some overlappings between
multi-word, collocation, terminology and similar concepts for
describing unique lexical units in natural language. For this reason,
the definition of multi-word varies according to different purposes
[15–19], while the fundamental idea behind these concepts is the
Vame, that is, to find a more meaningful and descriptive lexical unit
than the individual word from documents.139
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Multi-word has many potential applications in language engi-147 neering. For instance, in natural language generation by computer, 148 multi-words are used to make the output of computer sound as 149 natural as human utterance. In practical applications of speech rec-150 ognition and optical character recognition, multi-words can be 151 used to disambiguate the vagueness of recognized characters 152 through constructing statistical language model for character pre-153 diction. Also multi-words can be used in syntactical parsing, com-154 putational lexicography, etc. for various applications. 155

2.2. Text classification

Text classification, namely text categorization, is defined as assigning predefined categories to text documents, where documents can be news stories, technical reports, web pages, etc., and categories are most often subjects or topics, but may also be based on style (genres), pertinence, etc. Whatever the specific method employed, a text classification task starts with a training set $D = (d_1, \ldots, d_n)$ of documents that are already labeled with a category $L \in C$ (e.g. sports, politics). The task is then to determine a classification model as Eq. (1) which is able to assign the correct class to a new document d of the domain.

$$f: \overline{D} \to C \quad f(d) = L \tag{1}$$

To measure the performance of a classification model, a random fraction of the labeled documents is set aside and not used for training. We may classify the documents of this test set with the classification model and compare the estimated labels with true labels. The fraction of correctly classified documents in relation to the total number of documents is called accuracy, and is a basic performance measure.

Recently, various kinds of research on text classification have 177 been conducted regarding its applications. For instance, Adeva 178 and Atxa applied Naive bayes (NB), k-nearest neighbour (KNN) 179 and Racchio classifiers to learn the characteristics of both nor-180 mal and malicious user behaviors from the log entries gener-181 ated by the web application server and the performance of 182 each classifier was compared [20]. They reported that NB out-183 performed the other two by more than one percent on both 184 the macro- and micro-average F-measure. Zhang and Jiao devel-185 oped an associative classification-based recommendation system 186 for customer profile personalization in B2C e-commerce to pre-187 dict customer requirements according to the sales records 188 stored in database by evolving the traditional association rule 189 [21]. The regular linear least-squares fit (LSSF) algorithm was 190 used in Hissa et al. for the automatic classification of texts 191 whose contents concern the nursing care narratives of some 192 diseases [22]. Their results indicated that the free text in nurs-193 ing documentation can be automatically classified, and this can 194 offer a way to develop electronic patient records. Yang and Liu 195 used many kinds of statistical learning methods such as SVM, 196 neural network (NNet), etc., on the Reuters-21578 text classifi-197 cation [23]. They reported that SVM, KNN and LLSF outperform 198 NNet and NB when the number of positive training instances 199 per category is small (less than 10), and that all the methods 200 perform comparably when the categories are sufficiently com-201 mon (over 300 instances per category). 202

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203 2.3. Feature selection with information gain

204 A major difficulty of text categorization is the high dimension-205 ality of the feature space and most of the features (i.e., terms) are 206 irrelevant to the classification task or redundant. This makes it is highly desirable that to reduce the native space without sacrificing 207 208 classification accuracy. Generally, automatic feature selection methods include the removal of non-informative terms according 209 to corpus statistics, and the construction of new features which 210 combine lower-level features into higher-level orthogonal dimen-211 sions. Yang and Pedersen [24] compared five methods for feature 212 selection as information gain (IG), document frequency (DF), term 213 strength (TS), mutual information (MI) and χ^2 -test (CHI) on the 214 task of text categorization using k-nearest-neighbor (KNN) and lin-215 216 ear least-squares fit mapping (LLSF). They reported that IG and CHI 217 are the most effective methods in feature selection. Especially for 218 IG. 98% removal of unique terms vields text classification accuracy 219 up to 89.2% with the Reuters-22173 data set. IG is defined as the expected reduction in entropy caused by partitioning the objects 220 221 according to an attribute. The formula of IG is as Eq. (2) and the formula of entropy is as Eq. (3). 222 223

$$Gain(S,A) = Entropy(S) - \sum_{\nu \in Value(A)} \frac{|S_{\nu}|}{|S|} Entropy(S_{\nu})$$
(2)

Entropy(S) =
$$\sum_{i=1}^{c} -p_i \log p_i$$
 (3)

S is the collection of the labels of all objects, Value(A) is the set of all possible values for attribute A, S_v is the subset of S for which A has value v, c is the number of categories of all objects, and p_i is the proportion of the objects which belong to category i.

IG is employed in this paper to rank the terms according to 230 their discriminative power to categorize the documents. In or-231 der to observe the effectiveness of different representation 232 233 methods, the features set for representation is established at 234 different removal percentage of the low IG value terms. If the 235 performance of a classification based on one of representation 236 methods is kept stable when more and more terms with low IG value is removed from the feature set, the representation 237 238 method being used can be regarded as more reliable and robust 239 than those can not keep the performance stable. That is, the 240 current representation method has more powerful ability to 241 capture the features with high statistical quality from the 242 documents.

243 2.4. Support vector machine

SVM is a relatively new learning approach introduced by Vapnik
in 1995 for solving two-class pattern recognition problem [25,26].
The method is defined over a vector space where the problem is to
find a decision surface that "best" separates the data into two classes. For linearly separable space, the decision surface is a hyperplane which can be written as

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$$wx + b = 0$$
 (4)

where *x* is an arbitrary objects to be classified; the vector *w* and constant *b* are learned from a training set of linearly separable objects. SVM was proposed that it is equivalent to solve a linearly constrained quadratic programming problem as Eq. (5) so that the solution of SVM is always globally optimal.

$$\min_{\omega} \frac{1}{2} \|\omega\|^2 + C \sum_i \xi_i$$
 (5)

260 with constraints

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$$y_i(x_iw+b) \ge 1-\xi_i$$
 $\xi_i \ge 0, \forall i$

For linearly inseparable objects, the original input data is transformed into a higher dimensional space using a non-linear mapping and the linearly separating hyperplane can also be found in the new space without increasing the computation complexity of the quadratic programming problem by employing kernel function [27]. That is, for the linearly inseparable problem, in order to compute the similarities between the vectors in higher dimensional space, kernel function is used to derive these similarities in the original lower dimensional space.

Considering the multi-class classification in this paper, the One-Against-the-Rest approach was adopted. With this method, *k*-class pattern recognition was regarded as a collection of $\frac{k(k-1)}{2}$ binary classification problems. The *k*th classifier constructs a hyperplane between class *n* and the other k - 1 classes. A majority vote across the classifier or some other measures can be applied to classify a new point. In addition, other methods for *k*-classes (k > 2) classification are also discussed in [28] such as error-correcting output codes, SVM decision tree, etc.

3. Text representation using multi-word

A method for multi-word extraction is implemented based on syntactical structure of technical terminology proposed by Justeson [14]. Two strategies are developed to use the extracted multi-words to represent the documents at different semantic level.

3.1. Multi-word extraction

Generally speaking, there are mainly two types of methods developed for multi-word extraction. One is the linguistic method, which utilizes the structural properties of phrases in sentence to extract the multi-words from documents [14,29,30]. For instance, Smadja used the relative offset of two words' positions occurring in all the documents of a corpus to determine whether or not they constitute a multi-word [16]. And the results showed that his method works well for fixed phrases but it cannot cope with the long multi-word. The other is the statistical method, based on corpus learning with MI for word occurrence pattern discovery. For instance, Zhang et al. propose a method based on the adaptation of MI and context dependency for compound words extraction from very large Chinese Corpus, and they report that their method is efficient and robust for Chinese compounds extraction [17]. But, their method involves many heuristics involved to determine the context dependency of a word pair, and the parameters in their setting are so complex so that their model and not produce a robust performance. Some other methods also combine both linguistic knowledge and statistical computation for multi-word extraction such as in [31,32,18].

For simplicity, the multi-word extraction method used in this paper is as Justeson and Katz's regular expression for multi-word noun phrases as follows [14].

$$((A | N)^{+} | (A | N)^{*} (NP)^{\prime} (A | N)^{*})N$$
(7)

where A is an adjective, N is a noun and P is a preposition. Taking the following English sentence for example, by this method "U.S. agriculture department" (NNN), "U.S. agriculture" (NN), "agriculture department" (NN), "last December" (AN), "sugar import quota" (NNN), and "short tons" (AN) will be extracted from this sentence.

• The U.S. agriculture department last December slashed its 12 month of 1987 sugar import quota from the Philippines to 143,780 short tons from 231,660 short tons in 1986.

But a problem existing here is that there are too many word sequences satisfying the criterion of the above regular expression.

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nput:	
s ₁ , the first sentence	
s ₂ , the second sentence	
Dutput:	
Multi-word extracted from s_1 and s_2 .	
Procedure:	
$s_1 = \{w_1, w_2, \dots, w_n\}, s_2 = \{w_1, w_2, \dots, w_m'\}, k=0$	
For each word w _i in s ₁	
For each word w_i in s_2	
While $(w_i \text{ equal to } w_j)$	
k++	
End while	
If k>1	
extract the words from w_i to w_{i+k} to form a multi-w	ord candidate
$\mathbf{k} = 0$	
End if	
End for	
End for	

Algorithm 1. Repetition pattern Extraction from Sentences

323 For this reason, Justeson and Katz proposed another criterion as 324 repetition of the multi-word candidates because it is unusual for 325 the multi-word which is not about the topic of a document to re-326 peat more than two times in the document. In addition, from stand 327 of view of text categorization, if the frequency of a term is too small, this term will have no discriminative power to categorize 328 the documents. To reduce the computation complexity, our trick 329 330 is to extract the repetition pattern of two sentences in a documents 331 firstly and then using lexical tool to conduct the part of speech¹ for 332 the extracted pattern. For example, if we have two sentences as 333 follows:

- 334 • Standard oil co and bp north America inc said they plan to form 335 a venture to manage the money market borrowing and invest-336 ment activities of both companies.
- 337 • The venture will be called bp/standard financial trading and will 338 be operated by standard oil under the oversight of a joint man-339 agement committee.

341 From the above two sentences, "standard oil" will be extracted 342 as the repletion pattern. After the part of speech processing, it will 343 be extracted as a multi-word to represent the documents in the 344 text collection. The basic idea of finding the repetition pattern from 345 two sentences is string matching. For example, assuming we have 346 two sentences as s_1 is {A B C D E F G H} and s_2 is {F G H E D A B C} 347 where a capital character represents an individual word in a sen-348 tence as is shown in Fig. 1, the individual words in s_2 will be used 349 to match the individual words in s_1 one by one and the same pat-350 terns in these two sentences will be fetched out for simplicity. The 351 computation complexity of this algorithm is O(mn) where m and n 352 is the length of s_1 and s_2 , respectively. We also know that this algo-353 rithm could be improved using Knuth-Morris-Pratt's idea as KMP 354 flowchart [33] with complexity as O(m + n).

The algorithm we implemented to extract the repetition pattern 355 from two sentences in a document is shown in Algorithm 1. 356

357 3.2. Text representation

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358 After extracting multi-words from documents, we need to represent the documents using these multi-words. Actually, there are 359

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S ₁ :	Α	В	С	D	Е	F	G	Н	
S ₂ :	F	G	Н	D	Е	А	В	C	

Fig. 1. Two sentences and their corresponding repetition patterns.

some overlappings among the extracted multi-words such as "U.S. 360 agriculture", "agriculture department" and "U.S. agriculture depart-361 *ment*" and they refer to the concepts at different semantic level 362 such as "U.S. agriculture department" is a more specific concept than 363 the general concepts as "U.S. agriculture" and "agriculture depart-364 ment". Usually, short multi-words refer to the general concepts in 365 the documents and long multi-word is a subtopic of these general 366 topics. Following this idea, two strategies are proposed to further 367 process the extracted multi-words and represent the documents 368 using multi-words. 369

3.2.1. Decomposition strategy

In this strategy, only the general concepts embodied in the short multi-words are used for representation. That is, a long multi-word will be eliminated from the feature set if it can be produced by merging the short multi-words extracted from the corpus. For example, "U.S. agriculture department" will be eliminated from the feature set because it can be replaced by "U.S. agriculture" and "agriculture department". After normalize the multi-words into features with this strategy, the documents will be represented with term weights using the document frequencies (DF) of the multi-379 words because frequency is an important clue to determine the de-380 gree of relevance of a multi-word to the topic of a document, i.e., 381 the category of a document [34]. 382

3.2.2. Combination strategy

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In this strategy, only the subtopics of the general concepts embodied in the long multi-words will be used for representation. That is, short multi-words will be eliminated from the feature set they are included in long multi-words. For example, "U.S. agriculture" and "agriculture department" will be eliminated from the feature set because they are included in "U.S. agriculture department".

After feature normalization for the originally extracted multi-390 words with this method, a crucial problem confronted with us is 391 that how to use the long multi-word for representation. In fact, 392 the long multi-words occur very few times in documents and they 393 will have very small occurrence if the simple string full matching is 394 used to match the long multi-words with the words in the 395

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¹ The part of speech of English word is determined by WordNet2.0 which is available online: http://wordnet.princeton.edu/ obtain and Java WordNet library which is online: http://sourceforge.net/projects/jwordnet.

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Fig. 2. The subject sentence and the word sequence of individual words which are found in the subject sentence.

$$L_1 : a_{1,1}, a_{2,*1}, \dots, a_{m,*1}$$
$$L_2 : a_{1,2}, a_{2,*2}, \dots, a_{m,*2}$$
$$\dots$$
$$L_{t1} : a_{1,t1}, a_{2,*t1}, \dots, a_{m,*t1}$$

Fig. 3. The generated *t*1 lists using the rule of minimum difference.

documents. Nevertheless, how to deal with the occurrence of the short multi-words those are included in the long multi-words is another problem. For example, if "U.S. agriculture department" is used for the feature and "U.S. agriculture" or "agriculture department" are eliminated, should we regard "U.S. agriculture department" is approximately the same as "U.S. agriculture" or "agriculture department" for representation?

To overcome the problem in representation mentioned above, dynamic *k*-mismatch is proposed. The original *k*-mismatch is about characters and strings: given a pattern *p*, a text *t*, and a fixed number *k* that is independent of the lengths of *p* and *t*, a *k*-mismatch of *p* in *t* is a |p|-substring of *t* that matches (|p| - k) characters of *p*. That is, it matches *p* with *k* mismatches [7]. The main idea of dy-

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namic k-mismatch is to set k as a dynamic threshold cutoff value according to the length of pattern p and look for the minimum scope for the occurrences of the words in pattern p in t. In details, two heuristic parameters are set to determine whether a long multi-word occurs in a document. The first one is occurrence ratio (OR) which is the number of present individual words (a multi-word comprises at least two individual words) of pattern p to the total number of words of p, i.e., the multi-word. The second one is the minimum scope (MS) which is the minimum number of words included in a sequence which contains all the presenting individual words. For example, assuming we have a concept expressed as "Mickey mouse" and a sentence as follows:
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• Mickey is a mouse whose name is Mickey.

We will make out that OR is equal to 1.0 because both "*Mickey*" and "*mouse*" are present in this sentence. And MS is 4 because the sequence with minimum number of words is "*Mickey is a mouse*", not "*mouse whose name is Mickey*" which has 5 words.

By the method of dynamic k-mismatch, the presences of long 427 multi-words in a document are determined with the heuristic 428 parameter setting as OR and MS. The basic idea of finding the MS 429 of sequence as $\{w_1, w_2, \dots, w_m\}$ in sentence $\{s_1, s_2, \dots, s_n\}$ is based 430 on the minimum position difference discovery for the individual 431 words in the sequence. Assuming the subject sentence and the 432 word sequence as shown in Fig. 2, the details of this algorithm 433 are explained as follows. Firstly, the positions of each word w_i will 434 be identified as $w_1 = \{a_{1,1}, a_{1,2}, \dots, a_{1,t1}\}, \dots, w_m = \{a_{m,1}, a_{m,2}, \dots, w_m\}$ 435 $a_{m,tm}$, where $a_{m,1}$ means the first occurrence position of w_m in sen-436 tence s. Secondly, t1 lists will be generated where t1 is the number 437

Input:

 $S = \{s_1, ..., s_n\} // S$ is a word sequence which combines all sentences in a text and s_i is the *ith* individual word;

 $W = \{w_1, ..., w_m\} // W$ is a multi-word and w_i is the *ith* individual word in W;

Output:

//In order to describe the program clearly, we adopt W' that refers to a set of individual words in W and theses words occur in S.

OR --- the ratio of the number of individual words present in S to the number of the individual words included in a multi-word, i.e., |W'|/|W|;

MS --- minimum number of words of a sub-sequence of S that contains all the individual words in W';

Procedure:

 $W' = \emptyset$: For each w_i in W If w_i exists in S $W' = W' \cup \{w_i\}$ End if End for OR = |W'|/|W|;For each w_i in W $L_i = \{a_{i,k} \mid a_{i,k} \text{ is the } kth \text{ occurrence position for } w_i \text{ occurring in } S\};$ End for // the total number of L_i is |W'|; For each $a_{i,k}$ in L_1 // search from the first present word's positions, $|W'| = |L_1|$; $L'_{k} = \{a_{i,k}\};$ While $|L'_k| < |W'|$ $next = |L'_1| + 1$: Find a_{next} in L_{next} which has the minimum difference from any one element in L'_k currently $L'_{k} = L'_{k} \cup \{a_{next^{*}}\}$ End while End for For each L'_k where k = 1 to $|L_1|$ b_k = maximum value in L'_k – minimum value in L'_k End for $MS = minimum b_i (j=1,...,|L_1|)$

Algorithm 2. Dynamic k-mismatch to determine OR and MS of a multi-word in a document

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Table 1

Percentage of high IG value features corresponding to each test

Test No.	1	2	3	4	5	6	7	8	9	10	11
Removal percentage (%)	0	50	70	75	80	85	90	92	95	98	99

438 of occurrences of w_1 in sentence s using the minimum difference 439 rule. For example, for the first list L_1 , it is produces the following 440 steps: firstly, $a_{1,1}$ is added into L_1 , next, we added the one $a_{2,2}$ in 441 w_2 which has the minimum $|a_{1,1} - a_{2,2}|$ into L_1 , then the one $a_{3,*3}$ 442 in w_3 which minimize { $|a_{1,1} - a_{3,*3}|$ } or minimize { $|a_{1,1} - a_{2,2}|$ } will 443 be added into L_1 . This process continues until all the *t*1 lists are 444 produced as shown in Fig. 3.

445 Then, we define the length of each list as Eq. (8). And at last the 446 MS is derived as $\min_{1 \le i \le t_1} |L_i|$.

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$$|L_i| = \max\{a_{1,i}, a_{2,*i}, \dots, a_{m,*i}\} - \min\{a_{1,i}, a_{2,*i}, \dots, a_{m,*i}\}$$
 (8)

The computation complexity of this algorithm is O(t1t2...tm) and we know that this algorithm can be further improved as O(m * t1)if $\{a_{i,1}, a_{i,2}, ..., a_{i,ti}\}$ is sorted before the list construction. Following is the algorithm of dynamic *k*-mismatch.

Finally, all the documents are represented with binary weight,
i.e., ignoring the frequency, because long multi-word is usually a
subtopic in the document and it has greater discriminative power
than short multi-word. (Algorithm 2)

458 **4. Experiments**

Experiments are conducted on the task of text categorization on
Reuters-21578 to examine the performance of multi-word for representation. We also compare the performance of linear kernel and
non-linear kernel of SVM to investigate which type of kernel can
better project the feature space characterized by multi-word representation to the geometrical classification space among the
categories.

466 *4.1. Text collection and preprocessing*

467 Reuters-21578 text collection (http://www.daviddlewis.com/resources/testcollections/reuters21578/) was applied as our experi-468 mental data. It appeared as Reuters-22173 in 1991 and was 469 indexed with 135 categories by personnel from Reuters Ltd. in 470 471 1996. By our statistics, it contains in total 19403 valid texts with 472 average 5.4 sentences for each text. For convenience, the texts from 4 categories, "grain", "crude", "trade" and "interest" were assigned 473 474 as our target data set, on the condition that the number of sentences 475 for each text in these categories is between 4 and 7. With this meth-476 od, 252 texts from "grain", 208 texts from "crude", 133 texts from 477 "interest" and 171 texts from "trade" were assigned as our target 478 data set and 2/3 of these texts from each category were used as train-479 ing data and 1/3 of them as test data by random sampling.

480 The preprocessing we carried out for the assigned data includes 481 stop word elimination, stemming and sentence boundary determi-482 nation. Stop word elimination is to filter out the words in a text 483 which are generally regarded as 'functional words' and do not carry 484 meaning. On the other side, the computation complexity for multi-485 word extraction can be reduced by stop word elimination because 486 usually the stop words have high frequency and are prone to comprise an undesired repetition pattern.² For stemming, the singular/ 487 488 plural regularization was conducted to transform the singular noun 489 into plural noun, because what is concerned in this paper is noun multi-word and it mostly occurs in plural form such as "*mln dlrs*" to "*mln dlr*". In order to extract the repetition pattern from documents, we need to split a document into single sentences so that Algorithm 1 can be used. The method of sentence boundary determination in this paper is from [35].

Thus, 1514 multi-words were extracted from the training document set and with the processing in decomposition strategy, 984 short multi-words were obtained and used as the complete feature set in strategy 1. In combination strategy, 1037 long multi-word were obtained and used as the complete feature set in strategy 2. OR is set as 0.5 and MS is set as the number as two times of the number of words included in a multi-word for matching.

4.2. Experiment design and setting

In order to evaluate the effectiveness of multi-words for representation in different strategies, the feature sets used for representation vary at different proportion of the whole feature set under two strategies, respectively, according the ranking of IG values of the multi-words. In details, for each classification task, 11 tests were conducted at different removal percentage of the whole feature set as shown in Table 1. The features as individual words (the dimension is 10,116) are also used for comparison with the weight as document frequency for representation.

In SVM setting, the linear kernel used is as $(u * v)^1$ and the nonlinear kernel is as $(u * v + 1)^2$ in the experiments.³ We conjecture that only if the polynomial kernel is proved superior to linear kernel in classification can more complex non-linear kernel such as radial basis function (RBF) can be further attempted.

Thus, 6 text classification tasks were designed to study the performance of multi-word representation as shown in Table 2.

In order to guage the performance of the classification meth-520 ods objectively, threefold cross validation is employed. That is, 521 after all the training and test documents are represented using 522 selected feature set, 2/3 of all the data objects are used as train-523 ing the SVM learner and 1/3 for testing the trained SVM. One-524 Against-the-Rest approach is also used for multi-class classifica-525 tion and the accuracy is calculated out based on 4 iterations on 526 average, of them each category was assigned as positive class 527 once. 528

4.3. Results and evaluation

Fig. 4 is the accuracy graph of the above 6 classification tasks. It 530 can be seen that individual words with linear kernel is the best 531 classification method and individual words with non-linear kernel 532 is in the second place. This point is easy to understand because the 533 dimension of the individual words is about 10 times as the dimen-534 sion of the multi-words and SVM has the potential to manage high 535 dimensional input spaces effectively [36-38]. Table 3 shows the 536 average performances of the 6 classification methods and their 537 standard deviations. We can draw that on average performance 538 for the designed classification tasks, IL > IN > MCL > MCN > 539 MDL > MDN and on stand deviation with indicates the stableness 540 of the classification methods: IL < IN MCN < MCL < MDL < MDN, 541

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² We obtain the stop-words from United States Patent and Trademark Office (USPTO) patent full-text and image database at http://ftp.uspto.gov/patft/help/ stopword.htm. It includes about 100 usual words as stop-words.

³ Here, libsvm is used to conduct the work of text classification which is online and can be download freely: http://www.csie.ntu.edu.tw/~cjlin/libsvm/.

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Table 2

Classification tasks designed under 3 representation strategies and 2 kernels functions in SVM

Classification Task No.	1	2	3	4	5	6
Representation Features	Individual words	Individual words	Multi-words with strategy 1	Multi-words with strategy 1	Multi-words with strategy 2	Multi-words with strategy 2
Kernel function	Linear kernel	Non-linear kernel	Linear kernel	Non-linear kernel	Linear kernel	Non-linear kernel
Abbreviation	IL	IN	MDL	MDN	MCL	MCN

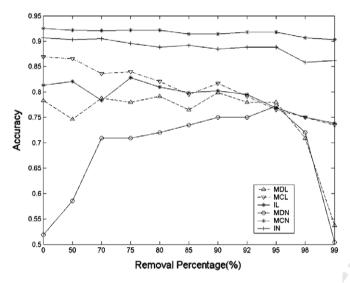


Fig. 4. The overall performances of the designed 6 classification methods.

Table 3

The average and stand deviation of each classification method

Classification method	Average accuracy	Standard deviation		
IL	0.9168	0.0068		
IN	0.8883	0.0159		
MDL	0.7507	0.0751		
MDN	0.6794	0.0960		
MCL	0.8077	0.0488		
MCN	0.7917	0.0288		

Table 4

Results of t-test on the performance of each classification method on text classification

Method	IL	IN	MDL	MDN	MCL	MCN
IL		>	>	>	>	>
IN			>	\gg	>	\gg
MDL				\gg	«	<
MDN					«	~
MCL						>
MCN						

which means IL is the most stable one for representation and MDN 542 543 is not so good in robustness of classification performance. To better illustrate the effectiveness of each classification method, the classic 544 *t*-test is also employed for the analysis [23,39]. Table 4 shows the 545 results of t-test and the following codification of the 546 *P*-value in ranges was used: "≫" and "≪" mean that the *P*-values 547 548 is lesser than or equal to 0.01, indicating a strong evidence of that a system generates a greater or smaller classification error than an-549 550 other one, respectively; "<" and ">" mean that P-value is bigger 551 than 0.01 and minor or equal to 0.05, indicating a weak evidence 552 that a system generates a greater or smaller classification error than another one, respectively; " \sim " means that the *P*-value is greater than 0.05 indicating that it does not have significant differences in the performances of the systems.

Combing the results in Tables 3 and 4, it can be concluded that linear kernel outperforms non-linear kernel on whatever kind of representation method and in the multi-word representation, the combination strategy is superior to the decomposition strategy. Moreover, the effect of different representation strategies is more then the effect of different kernel functions on text classification because the difference in performance of MCL and MCN is less significant than the difference of MCL and MDL or MCN and MDN. This outcome proves that representation using subtopics of general concepts can obtain better performance than representation using general concepts in text classification. That is, representation in more specific concepts can produce more desirable performance than general concepts can produce.

5. Concluding remarks

Multi-word is a newly exploited feature for text representation in the filed of information retrieval and text mining. In this paper, we implemented the multi-word extraction based on the syntactical structure of the noun multi-word phrases. For the sake of reduction on computation, repletion pattern identification is proposed to be extracted from sentences firstly and then use the extracted repetition patterns for regular expression matching to extract the multi-words. In order to use the multi-words for representation, two strategies were developed based on the different semantic level of the multi-words: the first is the decomposition strategy using general concepts for representation and the second is combination strategy using subtopics of the general concepts for representation. Moreover, IG method was employed as a scale to remove the multi-word from the feature set to study the robustness of the classification performance. Finally, a series of text classification tasks were carried out with SVM in linear and non-linear kernels, respectively, to analyze the effect of different kernel functions on classification performance. That is, to study the problem of what kind of vector mapping method is more preferred to project the document vector space to the category space.

Our experimental results demonstrate that the combination strategy for multi-word representation outperforms the decomposition strategy, and linear kernel outperforms non-linear kernel with SVM. In addition, it also appears that the combination strategy has poorer robustness than the decomposition strategy when the low IG value features are removed from the feature set. Nevertheless, the effect of using different representation strategies is greater than using different kernel functions in SVM on the classification performances.

The benefits of multi-word representation include at least three aspects. Firstly, it has lower dimension than individual words but its performance is acceptable. For instance, MCL can attain the accuracy up to 0.8673. Secondly, multi-word is easy to acquire from documents by corpus learning without any support of thesaurus, dictionary or ontology. Thirdly, multi-word includes more semantics and is a larger meaningful unit than individual word.

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606 For this reason, if multi-word is used for knowledge discovery, it 607 can produce more interpretability and comprehensibility for the 608 discovered patterns.

609 Although the experiment results have provided us with some 610 clues on text classification with multi-words, unfortunately, a generalized conclusion was not obtained from this examination be-611 612 cause of the lack of theoretical proof. A critical problem we need further advance is to provide a mathematical analysis on the statis-613 tical properties of multi-words on text classification. To attain this, 614 more examination and investigation should be undertaken for 615 more convincing work. 616

617 One of the promising directions in the text mining field concerns predictive pattern discovery from large amounts of docu-618 ments. In order to achieve this goal, many kinds of work are 619 620 involved in this field such as algorithm optimization, linguistics 621 and machine learning. As for the further research, we would like 622 to develop more practical algorithms for text mining using multi-623 word representation and explore more compressible methods for 624 knowledge discovery such as concept clustering. Another interesting aspect in the future is concerned with improving the perfor-625 626 mance by integrating the learning method with the 627 characteristics of the document vectors. Especially, the semisupervised learning will be employed to boost the performance 628 with semantic supervision from background knowledge. 629

630 Acknowledgments

This work is supported by Ministry of Education, Culture, 631 Sports, Science and Technology of Japan under the "Kanazawa Re-632 633 gion, Ishikawa High-Tech Sensing Cluster of Knowledge-Based Cluster Creation Project" and partially supported by the National 634 Natural Science Foundation of China under Grant Nos.70571078 635 and 70221001. 636

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